

## **UCLA HEALTH SYSTEM CODE OF CONDUCT**

### **VI. INTERNAL CONTROLS, AUDITS AND MONITORING**

The University is committed to implementing the University's Code and Program. Towards this end, internal controls, which include regular monitoring activities by management, are being implemented to assure compliance with the Code and Program. In addition, Audit and Advisory Services will review selected aspects of the Program in conjunction with the execution of the Annual Audit Plan, and external auditors/consultants will be utilized when necessary.

On an annual basis, the Office of Clinical Services Development, in conjunction with the University Auditor and General Counsel, will provide a report to The Regents regarding the status of the compliance program for each of the campuses.

In addition to centrally organized monitoring programs, UCLA will work with managers at all levels of UCLA Medical Sciences to incorporate these compliance policies into standard operating procedures. This will be accomplished through the adoption of department-level and operating unit-level policies that address specific compliance issues. These include areas such as clinical laboratory services, finance, admissions and transfers, information management, home health, coding, patient billing, and physician professional billing.